

MONTHLY STATUS REPORT FOR MONETARY PENALTY – MARCH 2026

Details of Penalty Levied on DPs:

DP ID	DP NAME	Penalty Imposed (Rs.)	Audit Type/ Reasons
10200	Khambatta Securities Limited	200	Delay in scanning and uploading the DIS images
10600	Anand Rathi Share And Stock Brokers Limited	200	Delay in scanning and uploading the DIS images
10900	Motilal Oswal Financial Services Limited	200	Delay in scanning and uploading the DIS images
12000	SVV Share And Stock Brokers Private Limited	5,000	Inspection Observation
12107	Suresh Rathi Securities Pvt Limited - Kota	200	Delay in scanning and uploading the DIS images
12900	R N Patwa Shares And Stock Brokers Private Limited	26,000	Inspection Observation
13700	Mehta Equities Limited	400	Delay in scanning and uploading the DIS images
13800	Bank of Maharashtra	1,600	Delay in scanning and uploading the DIS images
13900	Deutsche Bank A.G.	200	Delay in scanning and uploading the DIS images
14200	Janata Sahakari Bank Ltd.,	400	Delay in scanning and uploading the DIS images
15800	Standard Chartered Bank	400	Delay in scanning and uploading the DIS images
15800	Standard Chartered Bank	1,000	DP Operational non-compliance
15800	Standard Chartered Bank	4,000	DP Operational non-compliance
16700	VSE Stock Services Limited	500	PAN Modification
17400	Daily Gong Financial Services Limited	2,000	Inspection Observation
20800	BOI Services Limited	1,000	PAN Modification
22800	Vedika Securities Pvt Ltd	3,000	Inspection Observation
24200	Trustline Securities Limited	1,800	Delay in scanning and uploading the DIS images
24200	Trustline Securities Limited	100	DP Operational non-compliance
24400	Money Care Securities and Financial Services Private Limited	800	Delay in scanning and uploading the DIS images
25000	M. Prasad and Co Limited	400	Delay in scanning and uploading the DIS images
25000	M. Prasad and Co Limited	4,000	Delay in submitting Internal Audit compliance
29200	JSEL Securities Limited	400	Delay in scanning and uploading the DIS images

DP ID	DP NAME	Penalty Imposed (Rs.)	Audit Type/ Reasons
30100	Jyoti Broking Private Limited	2,600	Delay in scanning and uploading the DIS images
31400	Prabhat Financial Services Limited	200	Delay in scanning and uploading the DIS images
31600	Kalpataru Multiplier Limited	300	Delay in scanning and uploading the DIS images
32600	Manali Trading and Holdings Pvt Ltd	50,000	Delay in submitting Inspection compliance
33200	Angel One Limited	1,000	DP Operational non-compliance
33202	Angel One Limited	100	DP Operational non-compliance
35200	Kellton Securities Private Limited	200	Delay in scanning and uploading the DIS images
38700	Central Bank of India	500	PAN Modification
41400	Reliance Securities Limited	100	DP Operational non-compliance
42100	Khajanchi And Gandhi Stockbroking Private Limited	1,800	Delay in scanning and uploading the DIS images
43800	Raima Equities Private Limited	200	Delay in scanning and uploading the DIS images
45200	L F C Securities Private Limited	200	Delay in scanning and uploading the DIS images
45500	Dealmoney Commodities Private Limited	200	Delay in scanning and uploading the DIS images
47200	SBICAP Securities Limited	1,000	PAN Modification
47600	Sharewealth Securities Limited	200	Inspection Observation
47800	Manashvi Securities Limited	400	Delay in scanning and uploading the DIS images
48400	Kunvarji Finstock Private Limited	200	Delay in scanning and uploading the DIS images
48400	Kunvarji Finstock Private Limited	500	PAN Modification
48600	AFN Langrana Share And Stock Brokers Private Limited	30,000	Inspection Observation
48600	AFN Langrana Share And Stock Brokers Private Limited	2,000	Delay in submitting Internal Audit compliance
51800	UAE Exchange and Finance Limited	5,000	Delay in submitting Inspection compliance
53600	Munoth Capital Market Limited	200	Delay in scanning and uploading the DIS images
54200	Samco Securities Limited	100	DP Operational non-compliance
54500	HSB Securities & Equities Limited	2,100	Delay in scanning and uploading the DIS images
58300	Navjeevan Equity Broking Private Limited	200	Delay in scanning and uploading the DIS images
59100	Lakshmishree Investment and Securities Limited	15,000	Delay in submitting Inspection compliance

DP ID	DP NAME	Penalty Imposed (Rs.)	Audit Type/ Reasons
60300	Allegro Securities Private Limited	200	Delay in scanning and uploading the DIS images
60700	Agroy Finance and Investment Limited	200	Delay in scanning and uploading the DIS images
60800	NCJ Share and Stock Brokers Limited	200	Delay in scanning and uploading the DIS images
61200	SPFL Securities Limited	300	Delay in scanning and uploading the DIS images
62000	S S Corporate Securities Limited	200	Delay in scanning and uploading the DIS images
65200	Composite Investments Private Limited	200	Delay in scanning and uploading the DIS images
65600	PSB Securities Limited	900	Delay in scanning and uploading the DIS images
66500	GNG Stock Holdings Private Limited	1,000	Inspection Observation
66900	Choice Equity Broking Private Limited	100	DP Operational non-compliance
68100	FRR Shares and Securities Limited	4,000	Delay in submitting Internal Audit compliance
69000	Motisons Shares Private Limited	200	Delay in scanning and uploading the DIS images
70400	Multigain Securities Services Private Limited	25,000	Delay in submitting Inspection compliance
70400	Multigain Securities Services Private Limited	2,000	Delay in submitting Internal Audit compliance
71200	KNA Share Brokers Private Limited	500	PAN Modification
71300	A C Agarwal Share Brokers Private Limited	600	Delay in scanning and uploading the DIS images
73800	Indian Overseas Bank	15,000	Delay in submitting Inspection compliance
74300	ATS Share Brokers Private Limited	50,000	Delay in submitting Inspection compliance
75200	Money palm Brokers Private Limited	400	Delay in scanning and uploading the DIS images
76500	Tradejini Financial Services Private Limited	500	PAN Modification
76700	Bhansali Value Creations Private Limited	200	Delay in scanning and uploading the DIS images
79700	F R Ratnagar and Company Private Limited	2,000	Delay in submitting Internal Audit compliance
81800	Upstox Securities Private Limited	400	Delay in scanning and uploading the DIS images
81800	Upstox Securities Private Limited	1,000	DP Operational non-compliance
81800	Upstox Securities Private Limited	1,000	DP Operational non-compliance
82100	Proficient Equities Pvt. Ltd.	200	Delay in scanning and uploading the DIS images

DP ID	DP NAME	Penalty Imposed (Rs.)	Audit Type/ Reasons
82500	5paisa Capital Limited	3,000	CONCURRENT AUDIT OF RISK PRONE AREAS
82800	Abhinandan Stock Broking Private Limited	1,000	Inspection Observation
83500	Wiinance Financial Services Private Limited	400	Delay in scanning and uploading the DIS images
83500	Wiinance Financial Services Private Limited	1,000	Inspection Observation
83600	Profitmart Securities Private Limited	200	Delay in scanning and uploading the DIS images
88100	BGSE FINANCIALS LIMITED	400	Delay in scanning and uploading the DIS images
88700	Groww Invest Tech Private Limited	1,000	DP Operational non-compliance
89200	Shree Balaji Multicommodities Private Limited	5,000	Delay in submitting Inspection compliance
89400	Fyers Securities Private Limited	1,200	Inspection Observation
90400	PRL Stock & Share Brokers Private Limited	400	Delay in scanning and uploading the DIS images
90400	PRL Stock & Share Brokers Private Limited	1,000	Delay in submitting Internal Audit compliance
90600	Prudent Corporate Advisory Services Limited	5,000	Delay in submitting Inspection compliance
91000	Incred Capital Wealth Portfolio Managers Private Limited	1,600	Delay in scanning and uploading the DIS images
95400	Signatureglobal Comtrade Private Limited	400	Delay in scanning and uploading the DIS images
96200	Focus Stock Brokers Limited	1,200	Delay in scanning and uploading the DIS images
98100	SB Patil Securities Private Limited	2,000	Non-Submission of Internal Audit Report
99000	Maliram Makharia Finstock Private Limited	500	DP Operational non-compliance
99300	Sunshine Finsec And Mercantile Limited	1,000	Delay in submitting Internal Audit compliance

Details of Penalty Levied on Issuer/RTAs directly connected to Depository:

Issuer/ RTAs	Issuer/RTAs Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
151	Skyline Financial Services Private Limited	1,000	RTA Inspection Observation
117	Freedom Registry Limited	1,000	Delay in submitting RTA Internal Audit compliance
228	R&D Infotech Pvt Ltd	2,000	Delay in submitting RTA Internal Audit compliance

Issuer/ RTAs	Issuer/RTAs Name	Penalty Imposed (Rs.)	Audit Type/ Reasons
40169	Issuer - Tecnova India Private Limited # Equity Shares	500	RTA Inspection Observation
19807	Issuer - Neelgagan Finance Limited # Equity Shares	500	RTA Inspection Observation
24983	Issuer - Talented Webco Private Limited # Equity Shares	500	RTA Inspection Observation
52207	Issuer - Candor Ivf Center Private Limited # Equity Shares	500	RTA Inspection Observation
39714	Issuer - Thoughtsol Infotech Limited # Equity Shares	500	RTA Inspection Observation
26768	Issuer - P.G.A.S. Ispat Private Limited # Equity Shares	500	RTA Inspection Observation
51627	Issuer - Rudra Real Estate Private Limited # Equity Shares	500	RTA Inspection Observation
52068	Issuer - Treelife Ventures Services Private Limited # Equity Shares	500	RTA Inspection Observation
29518	Issuer - India Polymers Private Limited # Equity Shares	1,000	RTA Inspection Observation
52892	Issuer - Hi-Point Water Technologies (I) Private Limited # Equity Shares	500	RTA Inspection Observation
52484	Issuer - Sukhmani International Private Limited # Equity Shares	1,000	RTA Inspection Observation
53765	Issuer - Rudra Infradevelopers Private Limited # Equity Shares	500	RTA Inspection Observation
55264	Issuer - Tirupati Balaji Exim Limited # Equity Shares	1,500	RTA Inspection Observation
56710	Issuer - Solar Earth Renewables Private Limited # Equity Shares	500	RTA Inspection Observation